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State Prison Health Care: Spending, Quality, and Continuity

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Disclosure



I have no actual or potential conflicts of interest in relation to this program/presentation.

Correctional Health Care Research





Objectives:



- Provide a long-term look at state prison health care spending
- Help policymakers understand and preserve the value of state and local spending
- Highlight policies and practices that may contain costs while maintaining or improving public health and safety

Correctional Health Care Research



A report from The Pew Charitable Trusts and the John D. and Catherine T. MacArthur Foundation | July 2014



State Prison Health Care Spending

An examination

A brief from THE PEW CHARITABLE TRUSTS | Dec 2015



How Medicaid Enrollment of Inmates Facilitates Health Coverage After Release

Overview

As more states and localities have begun to re-evaluate and reform their criminal justice systems in recent years, policymakers have devoted increased attention to the health care provided to individuals transitioning in and out of prisons and jails. Health care and corrections have each emerged as fiscal pressure points, and so too has the intersection of these two spheres: health care for inmates. States alone spent \$7.7 billion on health care for prison inmates in fiscal year 2011.¹ Moreover, because of the extensive and, in some cases, communicable health conditions of many inmates, officials recognize that facilitating seamless access to health care upon re-entry into society improves the individuals' prospects for successful reintegration and benefits the public's health and safety. Offenders frequently enter jail or prison with a substance use disorder and/or a mental illness² and have high rates of chronic medical conditions (such as hypertension and diabetes) and infectious diseases (such as HIV and hepatitis C).³ Care continuity can be especially critical with the treatment of behavioral health conditions.⁴

State Prison Health Care Spending , 2007-11

July 2014 Study



Total Spending

- Total spending increased in 41 states. Median growth was 13%.
- Spending peaked in a majority of states before 2011.
- Primary driver behind downturn was a drop in prison populations.

Per-inmate Spending

- Per-inmate spending went up in 39 states. Median growth was 10%.
- Per-inmate spending varied dramatically.
- Higher spending is not necessarily an indication of waste. Lower spending is not necessarily a sign of efficiency.

State Prison Health Care Spending , 2007-11

July 2014 Study (cont'd)



Disaggregated Spending

- Just 10 states provided complete data for each year and each category
 - general medical care, hospitalization, mental health care, pharmaceuticals, substance abuse treatment, dental care, health care administration, and other

Older prisoners

- Share of inmates age 55+ rose in 40 of 42 states
- States with older inmates tended to have higher per-inmate spending

State Prison Health Care Spending , 2010-15 (forthcoming)



Updates and Upgrades

- Total and per-inmate spending, 2010-15
- Refined disaggregation categories, adapted from CMS NHEA
- Age demographics, 2010-15
 - 5-yr increments from 40 – 65+, allowing for projections

New Features

- Organizational and payment models
- Prescription drug cost drivers
- Staffing detail - total and disaggregated FTEs

Staffing detail



Medical Professional FTEs	State employees				Contract employees	Current FTE Vacancies (State + Contract)	Number Board Certified/ Licensed
	DOC	University	Other State Agency	Name of agency			
Physicians (general practitioners, specialists, etc; not psychiatrists)							
Psychiatrists (prescribing mental health professionals)							
Dentists							
Physician Assistants and Nurse Practitioners							
Other clinical mental health professionals (e.g., psychologists, mental health counselors, clinical social workers, psychiatric technicians, etc.)							
Pharmacists							
Clinical Nurses (licensed practical nurse, registered nurse, etc.)							
Other clinically trained staff (occupational therapists, physical therapists, recreational therapists, radiology technicians, lab technicians, etc.)							N/A
Paraprofessionals (nurse technicians, certified nursing assistants, medical assistants, orderlies, aides, dental assistants, pharmacy technicians, etc.)							N/A
Health care administrative staff (e.g. staff who do not provide direct health care)							N/A
Other							N/A
Total FTEs	0	0	0	N/A	0	0	N/A

Spending detail



	FY2010 (\$)	FY2011 (\$)	FY2012 (\$)	FY2013 (\$)	FY2014 (\$)	FY2015 (\$)
Disaggregated expenditures for inmates in state custody						
Offsite Care						
Inpatient Care						
Outpatient Care						
Emergency Department care						
Offsite Dialysis						
Medical and diagnostic lab services (including specimens produced in prison)						
Onsite Professional Services						
Physician Provider Compensation						
Nurse Provider Compensation						
Dental Provider Compensation						
Other Provider Compensation						
Health Care Administrator Compensation						
Medical and diagnostic lab services (including specimens produced in prison)						
Other health, residential, and personal care						
Onsite Dialysis						
Hospice						
Residential Mental Health Treatment						
Residential Substance Abuse Treatment						
Other (Please Describe)						
Long Term Care (e.g., skilled nursing; inpatient nursing; medication; medical equipment and supplies; intravenous therapy)						
Outpatient Medical Products						
Prescription Drugs (not including MAT)						
Medication-Assisted Treatment (MAT)						
eyeglasses; oxygen and hearing aids; wheelchairs)						
Other nondurable medical products/supplies						
Other expenditures						
Total disaggregated expenditures for inmates in state custody	-	-	-	-	-	-

Preliminary findings

- Slow spending growth: total and per-inmate
 - Even mix of states where spending rose and fell
 - Possible continuation of downturn trend
- Continued aging
 - Share of inmate pop age 55+ grew in nearly every state
- Heavy reliance on contracted vendors
- Varied staffing composition and inmate: staff capacity.
 - Most states provided complete data across organizational models
- Spending disaggregation limitations.
 - Most states could not provide detailed data, instead tracking broad, varied categories



Prison health care quality monitoring



Prison health care quality monitoring



Quality monitoring system in place

- Impetus
- Oversight responsibility
- Objectives
- Key facilitators
- Quality measures monitored across distinct domains (e.g., behavioral health, chronic diseases, etc)
 - Data source
 - Benchmark
 - Frequency of measurement
 - Chart-based sample or comprehensive audit
 - Derivation of measure
- CQI feedback loop

Prison health care quality monitoring



No quality monitoring system in place

- Quality monitoring actions
- Reasons for no system, as defined by Pew & Vera
- Future plans to establish system

All states

- Accreditation
- Prevalence tracking
- Continuous quality improvement policy

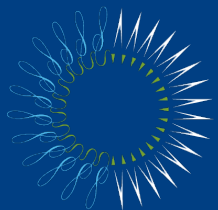
Prison health care continuity



Prison health care continuity

- Screening policies and procedures
- Medical records transfer and medium
- Coordination with community providers and supervisors
- Continuity programs/services
 - Medication prescription/supply
 - Provider referrals
 - Appointment scheduling
 - Patient education
 - Medicaid enrollment
 - Suspension/termination
 - Presumptive eligibility
 - Timing of enrollment completion
 - Alternative documentation
 - Managed care plan requirements
 - Etc





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